

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No. 242058US2CIP	
	First Inventor or Application Identifier Naoto JIKUTANI	
	Title SURFACE-EMISSION LASER DIODE OPERABLE IN THE WAVELENGTH BAND OF 1.1-1.7 $\mu$ m AND OPTICAL TELECOMMUNICATION SYSTEM USING SUCH A LASER DIODE	

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>	<b>ADDRESS TO:</b> Commissioner for Patents Mail Stop Patent Application Alexandria, Virginia 22313
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>  2. <input checked="" type="checkbox"/> Specification Total Sheets <span style="border: 1px solid black; padding: 2px;">584</span>  3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <span style="border: 1px solid black; padding: 2px;">142</span>  4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <span style="border: 1px solid black; padding: 2px;">9</span> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(for continuation/divisional with box 17 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification or Sequence Listing on : i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies	<b>ACCOMPANYING APPLICATION PARTS</b>  7. <input type="checkbox"/> Assignment 8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 15. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 16. <input checked="" type="checkbox"/> Other: Request for Priority

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

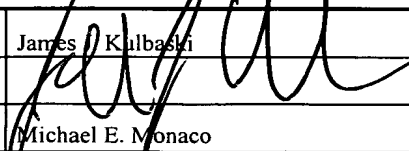
☐ Continuation    ☐ Divisional    ☒ Continuation-in-part (CIP)    of prior application no.: 10/085,204

Prior application information: Examiner: MENEFEE, JAMES A.    Group Art Unit: 2828

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

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Name: James J. Kulbaski	Registration No.: 34,648
Signature: 	Date: 10/31/03
Name: Michael E. Monaco	Registration No.: 52,041

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17548 U.S. PTO  
10/697035



Docket No. 220103US2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Naoto JIKUTANI et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: SURFACE-EMISSION LASER DIODE OPERABLE IN THE WAVELENGTH BAND OF 1.1-1.7  $\mu\text{m}$   
AND OPTICAL TELECOMMUNICATION SYSTEM USING SUCH A LASER DIODE

**FEE TRANSMITTAL**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	125 - 20 =	105	x \$18 =	\$1,890.00
INDEPENDENT CLAIMS	54 - 3 =	51	x \$86 =	\$4,386.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$290 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$770.00
TOTAL OF ABOVE CALCULATIONS				\$7,176.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$7,176.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$7,046.00** to cover the filing fee is enclosed.
- ☐ Credit card payment form is attached to cover the filing fee in the amount of \_\_\_\_\_
- ☒ The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date: 10/31/03

  
James J. Kulbaski

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Michael E. Monaco

Registration No. 52,041

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Takuro SEKIYA, et al.

GAU: UNASSIGNED

SERIAL NO: NEW APPLICATION

EXAMINER: UNASSIGNED

FILED: HERewith

FOR: SURFACE-EMISSION LASER DIODE OPERABLE IN THE WAVELENGTH BAND OF 1.1-1.7 $\mu$ m  
AND OPTICAL TELECOMMUNICATION SYSTEM USING SUCH A LASER DIODE

**REQUEST FOR PRIORITY**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

- ☒ Full benefit of the filing date of U.S. Application Serial Number 10/085,204, filed February 26, 2002, is claimed pursuant to the provisions of **35 U.S.C. §120**.
- ☐ Full benefit of the filing date(s) of U.S. Provisional Application(s) is claimed pursuant to the provisions of **35 U.S.C. §119(e)**:
- | <u>Application No.</u>   | <u>Date Filed</u> |
|--|-------------------|
| <input checked="" type="checkbox"/> Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of <b>35 U.S.C. §119</b> , as noted below. |                   |

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
Japan	2001-050083	February 26, 2001
Japan	2001-050145	February 26, 2001
Japan	2001-050171	February 26, 2001
Japan	2001-051253	February 26, 2001
Japan	2001-051256	February 26, 2001
Japan	2001-051266	February 26, 2001
Japan	2001-053190	February 27, 2001
Japan	2001-053200	February 27, 2001
Japan	2001-053213	February 27, 2001
Japan	2001-053218	February 27, 2001
Japan	2001-053225	February 27, 2001
Japan	2001-073767	March 15, 2001
Japan	2001-090711	March 27, 2001
Japan	2002-019748	January 29, 2002
Japan	2002-033590	February 12, 2002
Japan	2002-050548	February 26, 2002
Japan	2003-118115	April 23, 2003

Certified copies of the corresponding Convention Application(s)

- ☐ will be submitted prior to payment of the Final Fee
- ☒ were filed in prior application Serial No. 10/085,204 filed February 26, 2002, except 2003-118115 which will be filed prior to payment of Issue Fee.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
- ☐ (B) Application Serial No.(s)
- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McQUELLAND,  
MAIER & NEUSTADT, P.C.

James J. Kulbaski

Registration No. 34,648

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Registration No. 52,041

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GJM:MM:tdm

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